

Avior Wealth Management, LLC and Navigator Securities, Limited, member FINRA and SIPC (hereinafter “the Firm”) understands the importance of ensuring our customers have continued access to their funds and securities in the event our operations are impacted by a disaster. As a result, we have developed, maintain and update a business continuity plan that describes the means by which the Firm’s operations will respond to future significant business disruptions by varying degrees of severity.

The Firm has operational locations across the United States that allows us to ensure we are equipped for resumption of business in the event of a significant business disruption affects our locations, buildings, cities or regions. We will continue to conduct business during these disruptions and may choose to relocate key personnel and services to our designated backup locations. Relocations of critical functions will be completed within four hours of the significant business disruption.

In the event of a significant business disruption resulting in the reduction of work force due to a pandemic situation, the Firm has developed a comprehensive pandemic response plan to minimize the exposure and spread of a virus in the workplace. The plan involves social distancing, remote working and other measures to avoid close physical proximity in the workplace.

The Firm utilizes data centers and cloud applications located throughout various regions of the country. With office locations throughout the United States, we are able to shift responsibilities in the case of a significant business disruption. Our brokerage business is operated by our clearing firm that also has multiple locations, redundancies and a business continuity plan.

In the event of a significant business disruption at our principal location in Omaha, Nebraska, customers may continue to contact us by phone and email. Or refer to our website at Aviorwealth.com for updated information including contact information.

Please be advised, while we have detailed plans developed and in place, we cannot guarantee we will be able to recover as quickly as outlined above under all possible circumstances. Our recovery time objective may be negatively impacted by the unavailability of third parties and/or other circumstances out of our control.

Our business continuity plan is reviewed and tested throughout the year and is subject to modification. To obtain our most current version of this summary, please visit Aviorwealth.com or contact your advisor or registered representative.