

PRIVACY POLICY

FACTS	WHAT DOES AVIOR WEALTH MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul data-bbox="1150 1143 1927 1370" style="list-style-type: none">• Personal information;• Social Security number, income and employment information;• Assets and transaction history;

	<ul style="list-style-type: none"> • Investment experience and risk tolerance; and • Wire transfer instructions <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
<p>How?</p>	<p>All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons Avior Wealth Management, LLC chooses to share; and whether you can limit this sharing.</p>	
<p>Reasons we can share your personal information</p>	<p>Does Avior Wealth Management, LLC share?</p>	<p>Can you limit this sharing?</p>
<p>For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to government agencies or report to credit bureaus.</p>	<p>Yes</p>	<p>No</p>

For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	Yes	Yes
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	We do not share
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you – If your advisor terminates his or her relationship with us and moves to another investment advisory firm, we or your advisor	Yes	Yes

may disclose your personal information to the new firm.

To limit our sharing:

You can limit your sharing by sending an email to compliance@avior.com, providing us your name, contact information, and details of your request. Certain states have adopted a requirement for you to approve the sharing of information in advance, otherwise known as an “opt-in” choice. If you live in an “opt-in” state (e.g., California, Massachusetts, Maine, Alaska, North Dakota or Vermont), then Avior Wealth Management, LLC will require your consent to share your information with unaffiliated third parties who are not servicing your account(s). State requirements vary and may change without notice.

If you are a new client, we can begin sharing your information from the date we send this notice. When you are no longer our client, we continue to share your information as described in this notice.

Please note that you can contact us at any time to make changes to your privacy settings.

Who We Are

Who is providing this notice?	Avior Wealth Management, LLC
What We Do	
How does Avior Wealth Management, LLC protect your personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Avior Wealth Management, LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • open an account • provide account information • give us your contact information • enter into an investment advisory contract • tell us about your investments or retirement portfolio • seek advice about your investments
Why can't I limit all sharing?	Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes – information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies. *Our affiliates include Avior Tax and Accounting, LLC; Avior Insurance, LLC ; Avior Group, LLC and its subsidiaries.*

Non-Affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other Important Information

Personal information may be aggregated and de-identified (we remove any details that identify you personally). When permissible under applicable laws and regulations, we may share aggregated and de-identified information with third party providers to help deliver products, services and content that is tailored to our clients and prospective clients and for our own business purposes.

Questions?

Call (402)-218-4064, email compliance@avior.com, or visit avior.com.